DONOR-ADVISED FUND APPLICATION

NPT | TRANSATLANTIC

INSTRUCTIONS: To establish a Donor-Advised Fund (DAF) with NPT Transatlantic, please first refer to NPT Transatlantic's ***Donor-Advised Fund Reference Guide*** for an overview of the programme and policies. Please return the completed ***DAF Application*** and scanned copies of the passports of the Primary, Joint and Secondary Advisors (if you tick Full Access) to forms@npttransatlantic.org.

Following completion of the ***DAF Application***, please submit a ***Contribution Agreement***, also available at npttransatlanticdaf.org.

If you need assistance, call +44 (0)800 133 7540 or email enquiries@nptuk.org.

1 Donor-Advised Fund Name

Choose a name for the DAF. You may name it after yourself (e.g., "The John Smith Foundation"), your family (e.g.,"The Smith Family Charitable Trust"), in memory of someone, or a particular charitable purpose (e.g.,"Save the Rain Forests Charitable Fund").

Donor-Advised Fund Name

2 Account Currency

Choose the account currency for the DAF: ☐ GBP ☐ USD ☐ Both GBP and USD

Each NPT Transatlantic donor-advised fund includes a GBP account and a USD account. If GBP is chosen above, the GBP account will be the principal account and any contributions received in USD will be transferred to the GBP account. If USD is chosen above, the USD account will be the principal account and any contributions received in GBP (including Gift Aid) will be transferred to the USD account. If you choose both, we understand that you wish to accumulate balances in both the GBP and USD accounts. GBP contributions will remain in the GBP account, and USD contributions will remain in the USD account. If you choose both, standard charitable administration fees will apply to each account.

3 Primary and Joint Advisors

Primary Advisor (Required)
Identify the Primary Advisor for the DAF. The Primary Advisor is typically the primary donor—the owner of the assets being donated to establish the account. The Primary Advisor has the authority to recommend grants and investment allocations, name and remove Joint Advisors, Secondary Advisors, Successors and Charitable Beneficiaries in the Succession Plan. All correspondence regarding the DAF account will be sent to the Primary Advisor. The Primary Advisor will have online access to the account.

Title First Name Last Name

Address City State (US Only) Postcode Country

Telephone (for online access) Email (for online access)

Joint Advisor (Optional)
The Primary Advisor may name an individual (such as a spouse, family member, friend, or other) as Joint Advisor to the DAF. The Joint Advisor will have full and equal privileges to recommend grants and investment allocations, name and remove Secondary Advisors, Successors and Charitable Beneficiaries in the Succession Plan. The Joint Advisor will also have online access to the account.

Title First Name Last Name

Address City State (US Only) Postcode Country

Telephone (for online access) Email (for online access)

National Philanthropic Trust UK Limited | Registered Charity Number 1181128 (England and Wales)

4 Secondary Advisor(s) (Optional)

Name one or more Secondary Advisors if you wish. Secondary Advisors can be given read-only access or full access to recommend investment allocations and grants. Secondary Advisors cannot name advisors or successors. Secondary Advisors will not succeed the Primary or Joint Advisors unless they are also named as a Successor Advisor in the Succession Plan on this Application.

Title | First Name | Last Name

Address | City | State (US Only) | Postcode | Country

Telephone (for online access) | Email (for online access)

Secondary Advisor is authorised to:

☐ **Read-only access:** Permits Secondary Advisor to view DAF information online.

☐ **Full Access:** Permits Secondary Advisor to view DAF information online and to recommend investment allocations and grants.

Title | First Name | Last Name

Address | City | State (US Only) | Postcode | Country

Telephone (for online access) | Email (for online access)

Secondary Advisor is authorised to:

☐ **Read-only access:** Permits Secondary Advisor to view DAF information online.

☐ **Full Access:** Permits Secondary Advisor to view DAF information online and to recommend investment allocations and grants.

5 Succession Plan

You may name individuals as Successor Advisors to succeed the Primary and Joint Advisors upon their death and/or you may name Charitable Beneficiaries to receive DAF assets following the death of the Primary and Joint Advisors. You may name Successor Advisers on the existing DAF or instruct that new DAFs be created for Successor Advisors. If allocated to a new DAF, the new DAF must be funded with a minimum of £50,000 or $80,000. **THE COMBINED PERCENTAGE ALLOCATION MUST TOTAL 100%.** Please make copies of this page if you wish to designate more than four Successor Advisors.

Option A – Successor Advisors

☐ **TO BE RETAINED IN EXISTING DAF** ____ % ☐ **TO BE ALLOCATED TO A NEW DAF** ____ %

Successor Advisor 1

Title | First Name | Last Name

Address | City | State (US Only) | Postcode | Country

Telephone (for online access) | Email (for online access)

☐ **TO BE RETAINED IN EXISTING DAF** ____ % ☐ **TO BE ALLOCATED TO A NEW DAF** ____ %

Successor Advisor 2

Title | First Name | Last Name

Address | City | State (US Only) | Postcode | Country

Telephone (for online access) | Email (for online access)

5 Succession Plan (Continued)

☐ TO BE RETAINED IN EXISTING DAF %   ☐ TO BE ALLOCATED TO A NEW DAF %

Successor Adviser 3

Title | First Name | Last Name

Address | City | State (US Only) | Postcode | Country

Telephone (for online access) | Email (for online access)

☐ TO BE RETAINED IN EXISTING DAF %   ☐ TO BE ALLOCATED TO A NEW DAF %

Successor Adviser 4

Title | First Name | Last Name

Address | City | State (US Only) | Postcode | Country

Telephone (for online access) | Email (for online access)

Option B – Charitable Beneficiaries

Designate one or more charities to receive a lump-sum grant at the time of Succession Plan activation. If a charitable beneficiary is not eligible to receive a grant at the time of Succession Plan activation, designated assets will be reallocated among the remaining charities. Please make copies of this page if you wish to designate more than four charitable beneficiaries.

%

Charitable Beneficiary 1

Charity Name

Address | City | State (US Only) | Postcode | Country

Telephone | Charity Number (EIN for US Charity)

%

Charitable Beneficiary 2

Charity Name

Address | City | State (US Only) | Postcode | Country

Telephone | Charity Number (EIN for US Charity)

5 Succession Plan (Continued)

%

Charitable Beneficiary 3

Charity Name

Address | City | State (US Only) | Postcode | Country

Telephone | Charity Number (EIN for US Charity)

%

Charitable Beneficiary 4

Charity Name

Address | City | State (US Only) | Postcode | Country

Telephone | Charity Number (EIN for US Charity)

% **OPTION A – SUCCESSOR ADVISORS**

% **OPTION B – CHARITABLE BENEFICIARIES**

TOTAL: 100%

6 Investment Allocation

Primary, Joint and authorised Secondary Advisors may recommend an investment allocation by completing this section. If no percentage is indicated, the assets will be held in cash (non-interest bearing). If you wish to change your investment allocation, you can do so by submitting a new ***DAF Investment Reallocation***. You may only select one of the three options below.

For Donor-Advised Funds in GBP

☐ **Option 1:** Allocate assets among any of the third-party investment funds below. The total asset allocation must equal 100%.

Funds		Ticker	ISIN	Fee*	Percent Allocation
Vanguard FTSE 100 ETF	GBP	VUKE	IE00B810R511	0.15%	
UBS MSCI ACWI	GBP	ACGUKD	IE00BYVDRF92	0.15%	
iShares Core UK Gilts	GBP	IGLT	IE00B1FZSB30	0.15%	
SUTL Cazenove Charity Sustainable Multi-Asset Fund Class Z (Acc)	GBP	n/a	GB00BF784214	0.15%	
SUTL Cazenove Charity Multi-Asset Fund Class Z (Acc)	GBP	n/a	GB00BF783Y68	0.15%	
UBS ETF Barclays US Corp	GBP	CBUSH	LU1048317298	0.15%	
UBS (Irl) Select Money Market Fund	GBP	n/a	IE000VTRUJP5	0.15%	
Cash (non-interest bearing)	GBP	n/a	n/a	n/a	
Impact Investment Options					
UBS ETF (IE) MSCI UK IMI Socially Responsible UCITS	GBP	UKSR	IE00BMP3HN93	0.15%	
UBS ETF (IE) MSCI ACWI Socially Responsible Hedged GBP UCITS	GBP	AWSG	IE00BDR55B48	0.15%	
UBS ETF (IE) Global Gender Equality Hedged GBP UCITS	GBP	GENG	IE00BDR5H297	0.15%	
					TOTAL 100%

** By third-party investment manager, in addition to fund fees (see factsheets).*

6 Investment Allocation (continued)

☐ **Option 2:** Allocate assets among any of the single manager actively managed diversified model portfolios below. There is a £100,000 minimum investment in each model portfolio. The total asset allocation must equal 100%.

Model Portfolios		Fee*	Percent Allocation
UBS Global Balanced Fund	GBP	0.70%	
UBS Global Equity Fund	GBP	0.70%	
UBS Global Growth Fund	GBP	0.70%	
UBS Fixed Income Fund	GBP	0.40%	
Cash (non-interest bearing)	GBP	n/a	
			TOTAL 100%

** By third-party investment manager (factsheets available).*

☐ **Option 3 | Bespoke Investment Request:** £500,000 minimum required. For DAF accounts greater than £500,000, the Primary, Joint, or authorised Secondary Advisors may recommend a third-party investment manager to create a bespoke portfolio to help you meet your philanthropic goals, subject to the approval of NPT Transatlantic. Please submit a ***Bespoke Investment Recommendation Discretionary Mandate***.

For Donor-Advised Funds in USD

☐ **Option 4:** Allocate assets among any of the third-party investment funds below. The total asset allocation must equal 100%.

Funds		Ticker	ISIN	Fee*	Percent Allocation
iShares Corp S&P 500	USD	CSSPX	IE00B5BMR087	0.15%	
SPDR S&P 400 US Mid Cap ETF	USD	SPY4	IE00B4YBJ215	0.15%	
UBS MSCI ACWI	USD	ACWIU	IE00BYM11J43	0.15%	
iShares $ Treasury Bond 7-10 year	USD	IBTM	IE00B1FZS798	0.15%	
UBS ETF Barclays US Corp	USD	CBUS	LU1048316647	0.15%	
UBS (Irl) Select Money Market Fund	USD	n/a	IE000Q38I9I2	0.15%	
Cash (non-interest bearing)	USD	n/a	n/a	n/a	
Impact Investment Options					
UBS ETF – MSCI World Socially Responsible	USD	WSRUSA	LU0629459743	0.15%	
					TOTAL 100%

** By third-party investment manager, in addition to fund fees (see factsheets).*

☐ **Option 5:** Allocate assets among any of the singular manager actively managed diversified model portfolios below. There is a $100,000 minimum investment in each model portfolio. The total asset allocation must equal 100%.

Model Portfolios		Fee*	Percent Allocation
UBS Balanced	USD	0.70%	
UBS Equities	USD	0.70%	
UBS Growth	USD	0.70%	
UBS Yield	USD	0.70%	
Cash (non-interest bearing)	USD	n/a	
			TOTAL 100%

** By third-party investment manager (factsheets available).*

☐ **Option 6 | Bespoke Investment Request:** $800,000 minimum required. For DAF accounts greater than $800,000, the Primary, Joint or authorised Secondary Advisors may recommend a third-party investment manager to create a bespoke portfolio to help you meet your philanthropic goals, subject to the approval of NPT Transatlantic. Please submit a ***Bespoke Investment Recommendation Discretionary Mandate***.

7 Acknowledgement of Terms

I/We acknowledge and agree that:

- The DAF is offered pursuant to the terms and conditions contained in the NPT Transatlantic ***Donor-Advised Fund Reference Guide***, which I/we have read.
- Any contribution is an irrevocable and unconditional charitable donation once received and accepted by NPT Transatlantic. NPT Transatlantic retains exclusive legal control over the contributed assets and has final approval over any grant and investment allocation recommendations.
- I/We have full capacity and authority to enter into this agreement.
- To the best of my/our knowledge, the information provided in this agreement is accurate and I/we will notify NPT Transatlantic promptly in writing of any changes.

In accordance with Know Your Client/Anti-Money Laundering procedures, NPT Transatlantic will obtain information in order to verify the identities of donors and advisors to the DAF. The Privacy Policy on NPT UK's website provides further information on how we collect, store and use any personal data.

Primary Advisor and Joint Advisor, if applicable, are required to sign below.

___

Primary Advisor Signature

___ ___

Print Name Date (DD/MM/YYYY)

___

Joint Advisor Signature

___ ___

Print Name Date (DD/MM/YYYY)

DOCUMENTATION REQUIRED

☐ ***Donor-Advised Fund Application*** (this form)

☐ Scanned copy of the passports of the Primary, Joint and Secondary Advisors (if you tick Full Access)

☐ ***Contribution Agreement***

☐ ***Bespoke Investment Recommendation Discretionary Mandate*** (if applicable)

Return to: forms@npttransatlantic.org

For more information | enquiries@nptuk.org | +44 (0)800 133 7540 | www.nptuk.org