

# DONOR-ADVISED FUND APPLICATION

**INSTRUCTIONS:** This Application is the initial step in establishing a donor-advised fund (DAF) with NPT Transatlantic. Please provide information about yourself and others who will be responsible for the DAF. The completed DAF Application, Gift Aid Declaration (if applicable), and scanned copies of the passports of the Primary and Joint Advisors should be returned to [forms@npttransatlantic.org](mailto:forms@npttransatlantic.org).

## 1 DONOR-ADVISED FUND NAME

Choose a name for the DAF. You may name it for yourself (e.g., "The John Smith Foundation"), for your family (e.g., "The Smith Family Charitable Fund"), in memory of someone, or for a particular charitable purpose (e.g., "Save the Rain Forests Fund").

DONOR-ADVISED FUND NAME

## 2 ACCOUNT CURRENCY

Choose the account currency for the DAF:  GBP (Default)  USD

## 3 PRIMARY AND JOINT ADVISORS

### PRIMARY ADVISOR

Identify the Primary Advisor for the DAF. The Primary Advisor is typically the primary donor—the owner of the assets being donated to establish the account. The Primary Advisor has the authority to recommend grants and investment allocations, name and remove Joint Advisors, Secondary Advisors, Successors and Charitable Beneficiaries in the Succession Plan.

NAME (FIRST, MIDDLE INITIAL, LAST)

STREET ADDRESS

CITY STATE (US ONLY) POSTAL CODE

COUNTRY

EMAIL

PRIMARY TELEPHONE NUMBER

YEAR OF BIRTH (YYYY)

### JOINT ADVISOR

The Primary Advisor may name an individual (such as a spouse, family member, friend, or other) as Joint Advisor to the DAF. The Joint Advisor will have full and equal privileges to recommend grants and investment allocations, name and remove Secondary Advisors, Successors and Charitable Beneficiaries in the Succession Plan.

NAME (FIRST, MIDDLE INITIAL, LAST)

STREET ADDRESS

CITY STATE (US ONLY) POSTAL CODE

COUNTRY

EMAIL

PRIMARY TELEPHONE NUMBER

YEAR OF BIRTH (YYYY)

## 4 SECONDARY ADVISOR(S)

You may name one or more Secondary Advisors who may recommend grants and investments or input them on your behalf. Secondary Advisors cannot name advisors or successors, but they may have the same ability to recommend grants and investments as you do. Secondary Advisors will not succeed the Primary or Joint Advisors unless they are also named as a Successor Advisor on this Application.

### SECONDARY ADVISOR

NAME (FIRST, MIDDLE INITIAL, LAST)

STREET ADDRESS

CITY STATE (US ONLY) POSTAL CODE

COUNTRY

EMAIL

PRIMARY TELEPHONE NUMBER

YEAR OF BIRTH (YYYY)

Read-only access: permits Secondary Advisor to view DAF information online  
Full access: permits Secondary Advisor to recommend investment allocations and grants

### SECONDARY ADVISOR

NAME (FIRST, MIDDLE INITIAL, LAST)

STREET ADDRESS

CITY STATE (US ONLY) POSTAL CODE

COUNTRY

EMAIL

PRIMARY TELEPHONE NUMBER

YEAR OF BIRTH (YYYY)

Read-only access: permits Secondary Advisor to view DAF information online  
Full access: permits Secondary Advisor to recommend investment allocations and grants

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## 5 SUCCESSION PLAN

You may name individuals as Successor Advisors to succeed the Primary and Joint Advisors upon their death and/or you may name Charitable Beneficiaries to receive DAF assets following the death of the Primary and Joint Advisors. You may name Successor Advisors on the existing DAF or instruct that new DAFs be created for Successor Advisors. **THE COMBINED PERCENTAGE ALLOCATION MUST TOTAL 100%**

% **TO BE RETAINED IN EXISTING DAF**

**SUCCESSOR ADVISOR**

NAME (FIRST, MIDDLE INITIAL, LAST) \_\_\_\_\_

STREET ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE (US ONLY) \_\_\_\_\_ POSTAL CODE \_\_\_\_\_

COUNTRY \_\_\_\_\_ EMAIL \_\_\_\_\_

PRIMARY TELEPHONE NUMBER \_\_\_\_\_ YEAR OF BIRTH (YYYY) \_\_\_\_\_

% **TO BE ALLOCATED TO A NEW DAF**  
Each new DAF must be funded with £50,000 or \$80,000.

**SUCCESSOR ADVISOR**

NAME (FIRST, MIDDLE INITIAL, LAST) \_\_\_\_\_

STREET ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE (US ONLY) \_\_\_\_\_ POSTAL CODE \_\_\_\_\_

COUNTRY \_\_\_\_\_ EMAIL \_\_\_\_\_

PRIMARY TELEPHONE NUMBER \_\_\_\_\_ YEAR OF BIRTH (YYYY) \_\_\_\_\_

% **TO BE ALLOCATED TO A NEW DAF**  
Each new DAF must be funded with £50,000 or \$80,000.

**SUCCESSOR ADVISOR**

NAME (FIRST, MIDDLE INITIAL, LAST) \_\_\_\_\_

STREET ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE (US ONLY) \_\_\_\_\_ POSTAL CODE \_\_\_\_\_

COUNTRY \_\_\_\_\_ EMAIL \_\_\_\_\_

PRIMARY TELEPHONE NUMBER \_\_\_\_\_ YEAR OF BIRTH (YYYY) \_\_\_\_\_

% **TO BE ALLOCATED TO A NEW DAF**  
Each new DAF must be funded with £50,000 or \$80,000.

**SUCCESSOR ADVISOR**

NAME (FIRST, MIDDLE INITIAL, LAST) \_\_\_\_\_

STREET ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE (US ONLY) \_\_\_\_\_ POSTAL CODE \_\_\_\_\_

COUNTRY \_\_\_\_\_ EMAIL \_\_\_\_\_

PRIMARY TELEPHONE NUMBER \_\_\_\_\_ YEAR OF BIRTH (YYYY) \_\_\_\_\_

% **TO BE ALLOCATED TO A CHARITABLE BENEFICIARY**

**CHARITABLE BENEFICIARY**

CHARITY NAME \_\_\_\_\_

STREET ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE (US ONLY) \_\_\_\_\_ POSTAL CODE \_\_\_\_\_

COUNTRY \_\_\_\_\_ CHARITY NUMBER (EIN FOR US CHARITY) \_\_\_\_\_

PRIMARY TELEPHONE NUMBER \_\_\_\_\_

% **TO BE ALLOCATED TO A CHARITABLE BENEFICIARY**

**CHARITABLE BENEFICIARY**

CHARITY NAME \_\_\_\_\_

STREET ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE (US ONLY) \_\_\_\_\_ POSTAL CODE \_\_\_\_\_

COUNTRY \_\_\_\_\_ CHARITY NUMBER (EIN FOR US CHARITY) \_\_\_\_\_

PRIMARY TELEPHONE NUMBER \_\_\_\_\_

Distribute \_\_\_\_ % annually to the above named charity  
Distribute as a one-time grant

Distribute \_\_\_\_ % annually to the above named charity  
Distribute as a one-time grant

% **TO BE ALLOCATED TO A CHARITABLE BENEFICIARY**

**CHARITABLE BENEFICIARY**

CHARITY NAME \_\_\_\_\_

STREET ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE (US ONLY) \_\_\_\_\_ POSTAL CODE \_\_\_\_\_

COUNTRY \_\_\_\_\_ CHARITY NUMBER (EIN FOR US CHARITY) \_\_\_\_\_

PRIMARY TELEPHONE NUMBER \_\_\_\_\_

% **TO BE ALLOCATED TO A CHARITABLE BENEFICIARY**

**CHARITABLE BENEFICIARY**

CHARITY NAME \_\_\_\_\_

STREET ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE (US ONLY) \_\_\_\_\_ POSTAL CODE \_\_\_\_\_

COUNTRY \_\_\_\_\_ CHARITY NUMBER (EIN FOR US CHARITY) \_\_\_\_\_

PRIMARY TELEPHONE NUMBER \_\_\_\_\_

Distribute \_\_\_\_ % annually to the above named charity  
Distribute as a one-time grant

Distribute \_\_\_\_ % annually to the above named charity  
Distribute as a one-time grant



# DONOR-ADVISED FUND APPLICATION

## 7 INVESTMENT ALLOCATION

Primary, Joint, and authorised Secondary Advisors may recommend an investment allocation by completing this section. If no percentage is indicated, the assets will be held in cash (non-interest bearing). If you wish to change your investment allocation, you can do so by submitting a new Investment Allocation form.

### FOR DONOR-ADVISED FUNDS IN GBP

**Option 1:** Allocate assets among any of the third party investment funds below. There is a £100,000 minimum for each fixed term cash deposit. There is no minimum for the other funds. The total asset allocation must equal 100%.

FUNDS		TICKER	ISIN	FEE*	PERCENT ALLOCATION
Vanguard FTSE 100 ETF	GBP	VUKE	IE00B810R511	0.20%	%
UBS MSCI ACWI	GBP	ACGUKE	IE00BYVDRF92	0.20%	%
iShares Core UK Gilts	GBP	IGLT	IE00B1FZSB30	0.20%	%
UBS ETF Barclays US Corp	GBP	CBUSH	LU1048317298	0.20%	%
UBS (Irl) Select Money Market Fund	GBP	n/a	IE00BWWCQ766	0.15%	%
Fixed term cash deposit (3, 6, or 12 month)	GBP	n/a	n/a	0.10%	%
Cash (non-interest bearing)	GBP	n/a	n/a	n/a	%

\* By third-party investment manager, in addition to fund fees (see factsheets).

**TOTAL 100%**

**Option 2:** Allocate assets among any of the single manager actively managed diversified model portfolios below. There is a £100,000 minimum investment in each model portfolio and each fixed term cash deposit. The total asset allocation must equal 100%.

MODEL PORTFOLIOS		FEE*	PERCENT ALLOCATION
UBS Global Balanced Fund	GBP	0.70%	%
UBS Global Equity Fund	GBP	0.70%	%
UBS Global Growth Fund	GBP	0.70%	%
UBS Fixed Income Fund	GBP	0.40%	%
Fixed term cash deposit (3, 6, or 12 month)	GBP	0.10%	%
Cash (non-interest bearing)	GBP	--	%

\* By third-party investment manager (factsheets available).

**TOTAL 100%**

**Option 3 | Bespoke Investment Request:** £500,000 minimum required. For DAF accounts greater than £500,000, the Primary, Joint, or authorised Secondary Advisors may recommend a third-party investment manager to create a bespoke portfolio to help you meet your philanthropic goals, subject to the approval of NPT-UK. Please submit a Bespoke Investment Request.

### FOR DONOR-ADVISED FUNDS IN USD

**Option 4:** Allocate assets among any of the third party investment funds below. There is a \$100,000 minimum for each fixed term cash deposit. There is no minimum for the other funds. The total asset allocation must equal 100%.

FUNDS		TICKER	ISIN	FEE*	PERCENT ALLOCATION
iShares Core S&P 500	USD	CSSPX	IE00B5BMR087	0.20%	%
SPDR S&P 400 US Mid Cap ETF	USD	SPY4	IE00B4YBJ215	0.20%	%
UBS MSCI ACWI	USD	ACWIU	IE00BYM11J43	0.20%	%
UBS ETF – MSCI World Socially Responsible	USD	WSRUSA	LU0629459743	0.20%	%
iShares \$ Treasury Bond 7-10 year	USD	IBTM	IE00B1FZS798	0.20%	%
UBS ETF Barclays US Corp	USD	CBUS	LU1048316647	0.20%	%
UBS (Irl) Select Money Market Fund	USD	n/a	IE00BWWCQ766	0.15%	%
Fixed term cash deposit (3, 6, or 12 month)	USD	n/a	n/a	0.10%	%
Cash (non-interest bearing)	USD	n/a	n/a	n/a	%

\* By third-party investment manager, in addition to fund fees (see factsheets).

**TOTAL 100%**

**Option 5:** Allocate assets among any of the single manager actively managed diversified model portfolios below. There is a \$100,000 minimum investment in each model portfolio and each fixed term cash deposit. The total asset allocation must equal 100%.

MODEL PORTFOLIOS		FEE*	PERCENT ALLOCATION
UBS Balanced	USD	0.70%	%
UBS Equities	USD	0.70%	%
UBS Growth	USD	0.70%	%
UBS Yield	USD	0.70%	%
Fixed term cash deposit (3, 6, or 12 month)	USD	0.10%	%
Cash (non-interest bearing)	USD	--	%

\* By third-party investment manager (factsheets available).

**TOTAL 100%**

## 8 GIFT AID DECLARATION

When a cash gift is made to NPT Transatlantic, the gift of cash may be eligible for Gift Aid relief in the UK. To qualify for Gift Aid relief, the donor must pay enough UK tax (income tax, capital gains tax, Remittance Basis Charge) in each tax year to cover the amount of Gift Aid relief that all charities claim on the donor's behalf for that tax year. As a registered UK charity, NPT Transatlantic is eligible to claim Gift Aid on eligible cash contributions. The reclaimed Gift Aid will be added to the Donor-Advised Fund account once received. Gift Aid may only be claimed on new donations to charity.

If relevant, donors should complete a *Gift Aid Declaration* form.

## 9 ACKNOWLEDGEMENT OF TERMS

I acknowledge and agree that the DAF is offered pursuant to the terms and conditions contained in the NPT Transatlantic Donor-Advised Fund Reference Guide. I acknowledge and agree that any contribution is an irrevocable charitable donation when received and accepted by NPT Transatlantic, and NPT Transatlantic retains exclusive legal control over the contributed assets. Furthermore, I understand and agree that NPT Transatlantic has the final approval over any grant and investment allocation recommendations. I hereby certify that, to the best of my knowledge, all information presented in connection with this Application is accurate, and I will promptly notify NPT Transatlantic in writing of any changes.

**Primary Advisor and Joint Advisor, if applicable, are required to sign below.**

\_\_\_\_\_  
PRIMARY ADVISOR SIGNATURE

\_\_\_\_\_  
DATE (DD/MM/YYYY)

\_\_\_\_\_  
NAME (PRINT)

\_\_\_\_\_  
JOINT ADVISOR SIGNATURE

\_\_\_\_\_  
DATE (DD/MM/YYYY)

\_\_\_\_\_  
NAME (PRINT)

## DOCUMENTATION REQUIRED

- Donor-Advised Fund Application (this form)
- Scanned copy of the passports of the Primary and Joint Advisors
- Gift Aid Declaration (for UK individual taxpayers, if applicable)
- Bespoke Investment Request (if applicable)

## RETURN TO [forms@npttransatlantic.org](mailto:forms@npttransatlantic.org)

For more information | [enquiries@nptuk.org](mailto:enquiries@nptuk.org) | +44 (0)800 133 7540 | [www.nptuk.org](http://www.nptuk.org)