

# DONOR-ADVISED FUND LEGAL ENTITY APPLICATION

**INSTRUCTIONS:** This Application is the initial step in establishing a donor-advised fund (DAF) with NPT Transatlantic. Please provide information about the entity establishing the DAF and the individuals who will be responsible for the DAF. The completed DAF Application and scanned copies of the passports of the Primary and Joint Advisors should be returned to [forms@npttransatlantic.org](mailto:forms@npttransatlantic.org).

## 1 DONOR-ADVISED FUND NAME

Choose a name for the DAF. You may name it for your organisation or company (e.g., "The ABC Corporation Fund") or for a particular charitable purpose (e.g., "Save the Rain Forests Charitable Fund").

DONOR-ADVISED FUND NAME

## 2 ACCOUNT CURRENCY

Choose the account currency for the DAF:  GBP  USD  Both GBP and USD

Each NPT Transatlantic donor-advised fund includes a GBP account and a USD account. If GBP is chosen above, the GBP account will be the principal account and any contributions received in USD will be transferred to the GBP account. If USD is chosen above, the USD account will be the principal account and any contributions received in GBP (including Gift Aid) will be transferred to the USD account. If you choose both, we understand that you wish to accumulate balances in both the GBP and USD accounts. GBP contributions will remain in the GBP account and USD contributions will remain in the USD account. If you choose both, standard charitable administration fees apply to each account.

## 3 PRIMARY AND JOINT ADVISORS

### PRIMARY ADVISOR

Identify the Primary Advisor for the DAF. The Primary Advisor is typically the CEO of the entity establishing the DAF account. The Primary Advisor has the authority to recommend grants and investment allocations and to name and remove Joint Advisors.

NAME (FIRST, MIDDLE INITIAL, LAST)

STREET ADDRESS

CITY STATE (US ONLY) POSTAL CODE

COUNTRY

EMAIL

PRIMARY TELEPHONE NUMBER

DATE OF BIRTH (DD/MM/YYYY) JOB TITLE

### JOINT ADVISOR

Your organisation may name an individual Advisor to the fund that has the authority to recommend grants and investment allocations and view fund information. Joint Advisors are typically officers of the entity establishing the DAF account.

NAME (FIRST, MIDDLE INITIAL, LAST)

STREET ADDRESS

CITY STATE (US ONLY) POSTAL CODE

COUNTRY

EMAIL

PRIMARY TELEPHONE NUMBER

DATE OF BIRTH (DD/MM/YYYY) JOB TITLE

## 4 ENTITY INFORMATION

Identify the type of entity that owns the assets being donated. Additional documentation may be required. (e.g. articles of incorporation, resolutions, trusts instruments, partnership deed, etc.)

Corporation

Partnership

Limited Liability Company

Trust (non-charitable)

Charitable Entity (including Charitable Trusts). If this charitable entity is a US private foundation, please also check this box

OTHER (PLEASE SPECIFY)

LEGAL ENTITY NAME YEAR OF ORGANISATION

REGISTERED ADDRESS

CITY STATE (US ONLY) POSTAL CODE

COUNTRY

COMPANY NUMBER (EIN IF IN US)

WEBSITE

MAIN BUSINESS ADDRESS (IF DIFFERENT FROM REGISTERED ADDRESS)

CITY STATE (US ONLY) POSTAL CODE

COUNTRY

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## 5 CONTRIBUTION ASSET INFORMATION

Please indicate the type(s) and the amount of your gift. For specific bank transfer/wire transfer instructions, please see the document *How to Make a Contribution* or contact NPT Transatlantic.

### CASH

#### If gifting cash:

Bank Transfer/Wire      Other (contact NPT Transatlantic)

£  
AMOUNT OF TRANSFER (GBP)

\$  
AMOUNT OF TRANSFER (USD)

ACCOUNT NAME AT TRANSFERRING INSTITUTION

ACCOUNT NUMBER AT TRANSFERRING INSTITUTION (OPTIONAL)

TRANSFERRING INSTITUTION

COUNTRY OF TRANSFERRING INSTITUTION

#### If gifting cash from another donor-advised fund or charitable entity:

Bank Transfer/Wire      Other (contact NPT Transatlantic)

£  
AMOUNT OF TRANSFER (GBP)

\$  
AMOUNT OF TRANSFER (USD)

TRANSFERRING INSTITUTION

COUNTRY OF TRANSFERRING INSTITUTION

### SHARES AND OTHER PUBLICLY TRADED SECURITIES

If gifting shares, securities, bonds or mutual funds, please complete the section below.

NAME OF STOCK/BOND/MUTUAL FUND

SYMBOL/SEDOL/CUSIP/ISIN      NUMBER OF SHARES/UNITS

NAME OF TRANSFERRING INSTITUTION

COUNTRY OF TRANSFERRING INSTITUTION

ACCOUNT NAME AT TRANSFERRING INSTITUTION

ACCOUNT NUMBER AT TRANSFERRING INSTITUTION (OPTIONAL)

NAME OF STOCK/BOND/MUTUAL FUND

SYMBOL/SEDOL/CUSIP/ISIN      NUMBER OF SHARES/UNITS

NAME OF TRANSFERRING INSTITUTION

COUNTRY OF TRANSFERRING INSTITUTION

ACCOUNT NAME AT TRANSFERRING INSTITUTION

ACCOUNT NUMBER AT TRANSFERRING INSTITUTION (OPTIONAL)

NAME OF STOCK/BOND/MUTUAL FUND

SYMBOL/SEDOL/CUSIP/ISIN      NUMBER OF SHARES/UNITS

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COUNTRY OF TRANSFERRING INSTITUTION

ACCOUNT NAME AT TRANSFERRING INSTITUTION

ACCOUNT NUMBER AT TRANSFERRING INSTITUTION (OPTIONAL)

NAME OF STOCK/BOND/MUTUAL FUND

SYMBOL/SEDOL/CUSIP/ISIN      NUMBER OF SHARES/UNITS

NAME OF TRANSFERRING INSTITUTION

COUNTRY OF TRANSFERRING INSTITUTION

ACCOUNT NAME AT TRANSFERRING INSTITUTION

ACCOUNT NUMBER AT TRANSFERRING INSTITUTION (OPTIONAL)

### RESTRICTED STOCK

Please contact NPT Transatlantic for assistance.

### GIFTS OF OTHER TYPES OF ASSETS

Other types of gifts may include real estate, alternative investments (hedge fund or private equity interests), and other types of illiquid assets such as private C- and S-Corp stock, limited partnership interests, and other privately-held assets. Please contact NPT Transatlantic for assistance.

DESCRIPTION OF ASSET

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## 6 INVESTMENT ALLOCATION

Primary and Joint Advisors may recommend an investment allocation by completing this section. If no percentage is indicated, the assets will be held in cash (non-interest bearing). If you wish to change your investment allocation, you can do so by submitting a new Investment Allocation form.

### FOR DONOR-ADVISED FUNDS IN GBP

**Option 1:** Allocate assets among any of the third party investment funds below. The total asset allocation must equal 100%.

FUNDS		TICKER	ISIN	FEE*	PERCENT ALLOCATION
Vanguard FTSE 100 ETF	GBP	VUKE	IE00B810R511	0.20%	%
UBS MSCI ACWI	GBP	ACGUKE	IE00BYVDRF92	0.20%	%
iShares Core UK Gilts	GBP	IGLT	IE00B1FZSB30	0.20%	%
UBS ETF Barclays US Corp	GBP	CBUSH	LU1048317298	0.20%	%
UBS (Irl) Select Money Market Fund	GBP	n/a	IE00BWWCQ766	0.15%	%
Fixed term cash deposit (3, 6, or 12 month)	GBP	n/a	n/a	0.10%	%
Cash (non-interest bearing)	GBP	n/a	n/a	n/a	%
IMPACT INVESTMENT OPTIONS					
UBS ETF (IE) MSCI UK IMI Socially Responsible UCITS	GBP	UKSR	IE00BMP3HN93	0.28%	%
UBS ETF (IE) MSCI ACWI Socially Responsible Hedged GBP UCITS	GBP	AWSG	IE00BDR55B48	0.48%	%
UBS ETF (IE) Global Gender Equality Hedged GBP UCITS	GBP	GENG	IE00BDR5H297	0.30%	%
					<b>TOTAL 100%</b>

\* By third-party investment manager, in addition to fund fees (see factsheets).

**Option 2:** Allocate assets among any of the single manager actively managed diversified model portfolios below. There is a £100,000 minimum investment in each model portfolio. The total asset allocation must equal 100%.

MODEL PORTFOLIOS		FEE*	PERCENT ALLOCATION
UBS Global Balanced Fund	GBP	0.70%	%
UBS Global Equity Fund	GBP	0.70%	%
UBS Global Growth Fund	GBP	0.70%	%
UBS Fixed Income Fund	GBP	0.40%	%
Fixed term cash deposit (3, 6, or 12 month)	GBP	0.10%	%
Cash (non-interest bearing)	GBP	--	%
			<b>TOTAL 100%</b>

\* By third-party investment manager (factsheets available).

### FOR DONOR-ADVISED FUNDS IN USD

**Option 3:** Allocate assets among any of the third party investment funds below. There is a \$100,000 minimum for each fixed term cash deposit. There is no minimum for the other funds. The total asset allocation must equal 100%.

FUNDS		TICKER	ISIN	FEE*	PERCENT ALLOCATION
iShares Core S&P 500	USD	CSPX	IE00B5BMR087	0.20%	%
SPDR S&P 400 US Mid Cap ETF	USD	SPY4	IE00B4YBJ215	0.20%	%
UBS MSCI ACWI	USD	ACWIU	IE00BYM11J43	0.20%	%
UBS ETF – MSCI World Socially Responsible	USD	WSRUSA	LU0629459743	0.20%	%
iShares \$ Treasury Bond 7-10 year	USD	IBTM	IE00B1FZS798	0.20%	%
UBS ETF Barclays US Corp	USD	CBUS	LU1048316647	0.20%	%
UBS (Irl) Select Money Market Fund	USD	n/a	IE00BWWCPJ19	0.15%	%
Fixed term cash deposit (3, 6, or 12 month)	USD	n/a	n/a	0.10%	%
Cash (non-interest bearing)	USD	n/a	n/a	n/a	%
					<b>TOTAL 100%</b>

\* By third-party investment manager, in addition to fund fees (see factsheets).

**Option 4:** Allocate assets among any of the single manager actively managed diversified model portfolios below. There is a \$100,000 minimum investment in each model portfolio and each fixed term cash deposit. The total asset allocation must equal 100%.

MODEL PORTFOLIOS		FEE*	PERCENT ALLOCATION
UBS Balanced	USD	0.70%	%
UBS Equities	USD	0.70%	%
UBS Growth	USD	0.70%	%
UBS Yield	USD	0.70%	%
Fixed term cash deposit (3, 6, or 12 month)	USD	0.10%	%
Cash (non-interest bearing)	USD	--	%
			<b>TOTAL 100%</b>

\* By third-party investment manager (factsheets available).

**Option 5 | Bespoke Investment Request:** £500,000/\$800,000 minimum required. For DAF accounts greater than £500,000/\$800,000, the Primary and Joint Advisors may recommend a third-party investment manager to create a bespoke portfolio to help you meet your philanthropic goals, subject to the approval of NPT Transatlantic. Please submit a Bespoke Investment Request

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## 7 ACKNOWLEDGEMENT OF TERMS

I acknowledge and agree that the DAF is offered pursuant to the terms and conditions contained in the NPT Transatlantic Donor-Advised Fund Reference Guide. I acknowledge and agree that any contribution is an irrevocable charitable donation when received and accepted by NPT Transatlantic, and NPT Transatlantic retains exclusive legal control over all contributed assets. Furthermore, I understand and agree that NPT Transatlantic has the final approval over any grant and investment allocation recommendations. I hereby certify that, to the best of my knowledge, all information presented in connection with this Application is accurate, and I will promptly notify NPT Transatlantic in writing of any changes.

**Primary Advisor and Joint Advisor, if applicable, are required to sign below.**

\_\_\_\_\_  
PRIMARY ADVISOR SIGNATURE

\_\_\_\_\_  
DATE (DD/MM/YYYY)

\_\_\_\_\_  
NAME (PRINT)

\_\_\_\_\_  
JOB TITLE

\_\_\_\_\_  
JOINT ADVISOR SIGNATURE

\_\_\_\_\_  
DATE (DD/MM/YYYY)

\_\_\_\_\_  
NAME (PRINT)

\_\_\_\_\_  
JOB TITLE

## DOCUMENTATION REQUIRED

- Donor-Advised Fund Application (this form)
- Scanned copy of the passports of the Primary and Joint Advisors
- Bespoke Investment Request (if applicable)

## RETURN TO [forms@npttransatlantic.org](mailto:forms@npttransatlantic.org)

For more information | [enquiries@nptuk.org](mailto:enquiries@nptuk.org) | +44 (0)800 133 7540 | [www.nptuk.org](http://www.nptuk.org)