

DONOR-ADVISED FUND LEGAL ENTITY APPLICATION



INSTRUCTIONS: This Application is the initial step in establishing a donor-advised fund (DAF) with NPT Transatlantic. Please provide information about the entity establishing the DAF and the individuals who will be responsible for the DAF. The completed DAF Application and scanned copies of the passports of the Primary, Joint and Secondary Advisors (if you tick full access) should be returned to forms@npttransatlantic.org.

Following completion of the DAF Application, please submit a **Contribution Agreement**, also available at nptukgiving.org.

If you need assistance, call +44 (0)800 133 7540 or email enquiries@nptuk.org.

1 Donor-Advised Fund Name

Choose a name for the DAF. You may name it for your organisation or company (e.g., "The ABC Corporation Fund") or for a particular charitable purpose (e.g., "Save the Rain Forests Charitable Fund").

Donor-Advised Fund Name

2 Account Currency

Choose the account currency for the DAF: ☐ GBP ☐ USD ☐ Both GBP and USD

Each NPT Transatlantic donor-advised fund includes a GBP account and a USD account. If GBP is chosen above, the GBP account will be the principal account and any contributions received in USD will be transferred to the GBP account. If USD is chosen above, the USD account will be the principal account and any contributions received in GBP (including Gift Aid) will be transferred to the USD account. If you choose both, we understand that you wish to accumulate balances in both the GBP and USD accounts. GBP contributions will remain in the GBP account and USD contributions will remain in the USD account. If you choose both, standard charitable administration fees apply to each account.

3 Entity Information

Identify the type of entity that owns the assets being donated. Additional documentation may be required. (e.g. articles of incorporation, resolutions, trusts instruments, partnership deed, etc.)

☐ Corporation

☐ Partnership

☐ LLC

☐ Trust (non-charitable)

☐ Charitable Entity (including Charitable Trusts).

If this charitable entity is a US private foundation, please also check this box.

☐ Other (please specify)

Legal Entity Name

Year of Organisation

Registered Address

City

Postcode

Country

Company Number (EIN if US)

Website

Main Business Address (if different from registered address)

City

Postcode

State (US Only)

Country

4 Primary and Joint Advisors

Primary Advisor (Required)

Identify the Primary Advisor for the DAF. The Primary Advisor is typically the CEO of the entity establishing the DAF account. The Primary Advisor has the authority to recommend grants and investment allocations and to name and remove Joint and Secondary Advisors. All correspondence regarding the Donor-Advised Fund account will be sent to the Primary Advisor. The Primary Advisor will have online access to the account.

Title	First Name	Last Name		
Telephone (for online access)		Email (for online access)		
Address	City	State (US Only)	Postcode	Country
Job Title				

Joint Advisor (Optional)

Your organisation may name an Joint Advisor to the fund that has the authority to recommend grants and investment allocations and view fund information. Joint Advisors are typically officers of the entity establishing the DAF account. The Joint Advisor will also have online access to the account.

Title	First Name	Last Name		
Telephone (for online access)		Email (for online access)		
Address	City	State (US Only)	Postcode	Country
Job Title				

5 Secondary Advisor(s) (Optional)

Name one or more Secondary Advisors if you wish. Secondary Advisors may recommend grants and investments. Secondary Advisors cannot name Primary or Joint Advisors. Secondary Advisors will not succeed the Primary or Joint Advisors. Secondary Advisors can be given read-only access or full access to recommend investment allocations and grants.

Title	First Name	Last Name		
Telephone (for online access)		Email (for online access)		
Address	City	State (US Only)	Postcode	Country
Job Title				

Secondary Advisor is authorised to:

Read-only access: Permits Secondary Advisor to view DAF information online

Full access: Permits Secondary Advisor to recommend investment allocations and grants

5 Secondary Advisor(s) (Optional) (Continued)

Title	First Name	Last Name		
Telephone (for online access)		Email (for online access)		
Address	City	State (US Only)	Postcode	Country
Job Title				

Secondary Advisor is authorised to:

Read-only access: Permits Secondary Advisor to view DAF information online

Full Access: Permits Secondary Advisor to recommend investment allocations and grants

6 Investment Allocation

Primary, Joint, and authorised Secondary Advisors may recommend an investment allocation by completing this section. If no percentage is indicated, the assets will be held in cash (non-interest bearing). If you wish to change your investment allocation, you can do so by submitting a new **Investment Allocation** form.

For Donor-Advised Funds in GBP

Option 1: Allocate assets among any of the third party investment funds below. The total asset allocation must equal 100%.

Funds		Ticker	ISIN	Fee*	Percent Allocation
Vanguard FTSE 100 ETF	GBP	VUKE	IE00B810R511	0.15%	
UBS MSCI ACWI	GBP	ACGUKE	IE00BYVDRF92	0.15%	
iShares Core UK Gilts	GBP	IGLT	IE00B1FZSB30	0.15%	
SUTL Cazenove Charity Sustainable Multi-Asset Fund S (Acc)	GBP	n/a	GB00BF784438	0.15%	
SUTL Cazenove Charity Multi-Asset Fund S (Acc)	GBP	n/a	GB00BF784099	0.15%	
UBS ETF Barclays US Corp	GBP	CBUSH	LU1048317298	0.15%	
UBS (Irl) Select Money Market Fund	GBP	n/a	IE00BWWCQ766	0.15%	
Cash (non-interest bearing)	GBP	n/a	n/a	n/a	
Impact Investment Options					
UBS ETF (IE) MSCI UK IMI Socially Responsible UCITS	GBP	UKSR	IE00BMP3HN93	0.15%	
UBS ETF (IE) MSCI ACWI Socially Responsible Hedged GBP UCITS	GBP	AWSG	IE00BDR55B48	0.15%	
UBS ETF (IE) Global Gender Equality Hedged GBP UCITS	GBP	GENG	IE00BDR5H297	0.15%	
					TOTAL 100%

* By third-party investment manager, in addition to fund fees (see factsheets).

Option 2: Allocate assets among any of the single manager actively managed diversified model portfolios below. There is a £100,000 minimum investment in each model portfolio. The total asset allocation must equal 100%.

Model Portfolios		Fee*	Percent Allocation
UBS Global Balanced Fund	GBP	0.70%	
UBS Global Equity Fund	GBP	0.70%	
UBS Global Growth Fund	GBP	0.70%	
UBS Fixed Income Fund	GBP	0.40%	
Cash (non-interest bearing)	GBP	n/a	
			TOTAL 100%

* By third-party investment manager (factsheets available).

6 Investment Allocation (Continued)

For Donor-Advised Funds in USD

Option 3: Allocate assets among any of the third party investment funds below. The total asset allocation must equal 100%.

Funds		Ticker	ISIN	Fee*	Percent Allocation
iShares Corp S&P 500	USD	CSSPX	IE00B5BMR087	0.15%	
SPDR S&P 400 US Mid Cap ETF	USD	SPY4	IE00B4YBJ215	0.15%	
UBS MSCI ACWI	USD	ACWIU	IE00BYM11J43	0.15%	
iShares \$ Treasury Bond 7-10 year	USD	IBTM	IE00B1FZS798	0.15%	
UBS ETF Barclays US Corp	USD	CBUS	LU1048316647	0.15%	
UBS (Irl) Select Money Market Fund	USD	n/a	IE00BWWCPJ19	0.15%	
Cash (non-interest bearing)	USD	n/a	n/a	n/a	
Impact Investment Options					
UBS ETF – MSCI World Socially Responsible	USD	WSRUSA	LU0629459743	0.15%	
					TOTAL 100%

* By third-party investment manager, in addition to fund fees (see factsheets).

Option 4: Allocate assets among any of the singular manager actively managed diversified model portfolios below. There is a \$100,000 minimum investment in each model portfolio. The total asset allocation must equal 100%.

Model Portfolios		Fee*	Percent Allocation
UBS Global Balanced Fund	GBP	0.70%	
UBS Global Equity Fund	GBP	0.70%	
UBS Global Growth Fund	GBP	0.70%	
UBS Fixed Income Fund	GBP	0.70%	
Cash (non-interest bearing)	GBP	n/a	
			TOTAL 100%

* By third-party investment manager (factsheets available).

Option 5 | Bespoke Investment Request: £500,000/\$800,000 minimum required. For DAF accounts greater than £500,000/\$800,000, the Primary and Joint Advisors may recommend a third-party investment manager to create a bespoke portfolio to help you meet your philanthropic goals, subject to the approval of NPT Transatlantic. Please submit a **Bespoke Investment Request**.

7 Acknowledgement of Terms

I acknowledge and agree that the DAF is offered pursuant to the terms and conditions contained in the NPT Transatlantic **Donor-Advised Fund Reference Guide**. I acknowledge and agree that any contribution is an irrevocable charitable donation when received and accepted by NPT Transatlantic, and NPT Transatlantic retains exclusive legal control over all contributed assets. Furthermore, I understand and agree that NPT Transatlantic has the final approval over any grant and investment allocation recommendations. In accordance with Know Your Client/Anti-Money Laundering procedures, NPT Transatlantic will obtain and verify information that identifies each person who makes a contribution to a donor-advised fund. I hereby certify that, to the best of my knowledge, all information presented in connection with this Application is accurate, and I will promptly notify NPT Transatlantic in writing of any changes.

Primary Advisor and Joint Advisor, if applicable, are required to sign below.

Primary Advisor Signature

Primary Advisor Print Name

Date (DD/MM/YYYY)

7 Acknowledgement of Terms (Continued)

Joint Advisor Signature

Joint Advisor Print Name

Date (DD/MM/YYYY)

DOCUMENTATION REQUIRED

Donor-Advised Fund Application (this form)

Contribution Agreement

Scanned copy of the passports of the Primary, Joint and Secondary Advisors (if you tick Full Access)

Bespoke Investment Request (if applicable)

Return to: forms@npttransatlantic.org

For more information | enquiries@nptuk.org | +44 (0)800 133 7540 | www.nptuk.org