

DONOR-ADVISED FUND APPLICATION



INSTRUCTIONS: To establish a donor-advised fund with National Philanthropic Trust UK (NPT UK), please first refer to **NPT UK's Donor-Advised Fund Reference Guide** for an overview of the programme and policies. You may complete the DAF Application either by using this form or through the online application, beginning with New User Registration, at nptukgiving.org. If using this form, please return the completed DAF Application and scanned copies of the passports of the Primary, Joint and Secondary Advisors (if you tick Full Access) to forms@nptuk.org.

Following completion of the DAF Application, please submit a **Contribution Agreement**, also available at nptukgiving.org.

If you need assistance, call +44 (0)800 133 7540 or email enquiries@nptuk.org.

1 Donor-Advised Fund Name

Choose a name for the DAF. You may name it for yourself (e.g., "The John Smith Foundation"), for your family (e.g., "The Smith Family Charitable Trust"), in memory of someone, or for a particular charitable purpose (e.g., "Save the Rain Forests Charitable Fund").

Donor-Advised Fund Name

2 Primary and Joint Advisors

Primary Advisor (Required)

Identify the Primary Advisor for the DAF. The Primary Advisor is typically the primary donor—the owner of the assets being donated to establish the account. The Primary Advisor has the authority to recommend grants and investment allocations, name and remove Joint Advisors, Secondary Advisors, Successors and Charitable Beneficiaries in the Succession Plan. All correspondence regarding the Donor-Advised Fund account will be sent to the Primary Advisor. The Primary Advisor will have online access to the account.

Title	First Name	Last Name	Year of Birth
Telephone (for online access)		Email (for online access)	
Address	City	Postcode	Country

Joint Advisor (Optional)

The Primary Advisor may name an individual (such as a spouse, family member, friend, or other) as Joint Advisor to the DAF. The Joint Advisor will have full and equal privileges to recommend grants and investment allocations, name and remove Secondary Advisors, Successors and Charitable Beneficiaries in the Succession Plan. The Joint Advisor will also have online access to the account.

Title	First Name	Last Name	Year of Birth
Telephone (for online access)		Email (for online access)	
Address	City	Postcode	Country

3 Secondary Advisor(s) (Optional)

Name one or more Secondary Advisors if you wish. Secondary Advisors may recommend grants and investments. Secondary Advisors cannot name advisors or successors. Secondary Advisors will not succeed the Primary or Joint Advisors unless they are also named as a Successor Advisor in the Succession Plan on this Application. Secondary Advisors can be given read-only access or full access to recommend investment allocations and grants.

Title	First Name	Last Name	Year of Birth
Telephone (for online access)		Email (for online access)	
Address	City	Postcode	Country

Secondary Advisor is authorised to:

Read-only access: Permits Secondary Advisor to view DAF information online

Full Access: Permits Secondary Advisor to recommend investment allocations and grants

Title	First Name	Last Name	Year of Birth
Telephone (for online access)		Email (for online access)	
Address	City	Postcode	Country

Secondary Advisor is authorised to:

Read-only access: Permits Secondary Advisor to view DAF information online

Full Access: Permits Secondary Advisor to recommend investment allocations and grants

4 Succession Plan

You may name individuals as Successor Advisors to succeed the Primary and Joint Advisors upon their death and/or you may name Charitable Beneficiaries to receive DAF assets following the death of the Primary and Joint Advisors. You may name Successor Advisors on the existing DAF or instruct that new DAFs be created for Successor Advisors. **THE COMBINED PERCENTAGE ALLOCATION MUST TOTAL 100%**

% TO BE RETAINED IN EXISTING DAF

SUCCESSOR ADVISOR

Title	First Name	Last Name	Year of Birth
Telephone		Email	
Address	City	Postcode	Country

SUCCESSOR ADVISOR

Title	First Name	Last Name	Year of Birth
Telephone		Email	
Address	City	Postcode	Country

4 Succession Plan (Continued)

% TO BE ALLOCATED IN A NEW DAF: Each new DAF must be funded with £250,000.

SUCCESSOR ADVISOR

Title	First Name	Last Name	Year of Birth	
Telephone		Email		
Address		City	Postcode	Country

% TO BE ALLOCATED IN A NEW DAF: Each new DAF must be funded with £250,000.

SUCCESSOR ADVISOR

Title	First Name	Last Name	Year of Birth	
Telephone		Email		
Address		City	Postcode	Country

CHARITABLE BENEFICIARIES

Designate one or more charities to receive a lump-sum grant at the time of Succession Plan activation. If a charitable beneficiary is not eligible to receive a grant at the time of Succession Plan activation, designated assets will be reallocated among the remaining charities.

% TO BE ALLOCATED TO A CHARITABLE BENEFICIARY

CHARITABLE BENEFICIARY

Charity Name				
Telephone		Charity Number		
Address		City	Postcode	Country

% TO BE ALLOCATED TO A CHARITABLE BENEFICIARY

CHARITABLE BENEFICIARY

Charity Name				
Telephone		Charity Number		
Address		City	Postcode	Country

4 Succession Plan (Continued)

% TO BE ALLOCATED TO A CHARITABLE BENEFICIARY

CHARITABLE BENEFICIARY

Charity Name

Telephone

Charity Number

Address

City

Postcode

Country

% TO BE ALLOCATED TO A CHARITABLE BENEFICIARY

CHARITABLE BENEFICIARY

Charity Name

Telephone

Charity Number

Address

City

Postcode

Country

5 Investment Allocation

Primary, Joint and authorised Secondary Advisors may recommend an investment allocation by completing this section. If no percentage is indicated, the assets will be held in cash (non-interest bearing). If you wish to change your investment allocation, you can do so by submitting a new **DAF Investment Reallocation**. You may only select one of the three options below.

Option 1: Allocate assets among any of the third party investment funds below. The total asset allocation must equal 100%.

Funds		Ticker	ISIN	Fee*	Percent Allocation
Vanguard FTSE 100 ETF	GBP	VUKE	IE00B810R511	0.15%	
Vanguard S & P 500 UCITS ETF	GBP	VUSA	IE00B3XXRP09	0.15%	
UBS MSCI ACWI	GBP	ACGUKE	IE00BYVDRF92	0.15%	
iShares Core UK Gilts	GBP	IGLT	IE00B1FZSB30	0.15%	
UBS ETF Barclays US Corp	GBP	CBUSH	LU1048317298	0.15%	
UBS (Irl) Select Money Market Fund	GBP	n/a	IE00BWWCQ766	n/a	
Cash (non-interest bearing)	GBP	n/a	n/a	n/a	
Impact Investment Options					
UBS ETF (IE) MSCI UK IMI Socially Responsible UCITS	GBP	UKSR	IE00BMP3HN93	0.15%	
UBS ETF (IE) MSCI ACWI Socially Responsible Hedged GBP UCITS	GBP	AWSG	IE00BDR55B48	0.15%	
UBS ETF (IE) Global Gender Equality Hedged GBP UCITS	GBP	GENG	IE00BDR5H297	0.15%	
					TOTAL 100%

* By third-party investment manager, in addition to fund fees (see factsheets).

Option 2: Allocate assets among any of the single manager actively managed diversified model portfolios below. There is a £100,000 minimum investment in each model portfolio. The total asset allocation must equal 100%.

Model Portfolios		Fee*	Percent Allocation
UBS Global Balanced Fund	GBP	0.70%	
UBS Global Equity Fund	GBP	0.70%	
UBS Global Growth Fund	GBP	0.70%	
UBS Fixed Income Fund	GBP	0.40%	
Cash (non-interest bearing)	GBP	n/a	
			TOTAL 100%

* By third-party investment manager (factsheets available).

5 Investment Allocation (continued)

Option 3 | Bespoke Investment Request: £500,000 minimum required. For DAF accounts greater than £500,000, the Primary, Joint, or authorised Secondary Advisors may recommend a third-party investment manager to create a bespoke portfolio to help you meet your philanthropic goals, subject to the approval of NPT UK. Please submit a **Bespoke Investment Request**.

6 Acknowledgement of Terms

I acknowledge and agree that the DAF is offered pursuant to the terms and conditions contained in the NPT UK Donor-Advised Fund Reference Guide. I acknowledge and agree that any contribution is an irrevocable charitable donation when received and accepted by NPT UK, and NPT UK retains exclusive legal control over the contributed assets. Furthermore, I understand and agree that NPT UK has the final approval over any grant and investment allocation recommendations. In accordance with Know Your Client/Anti-Money Laundering procedures, NPT UK will obtain and verify information that identifies each person who makes a contribution to a donor-advised fund. I hereby certify that, to the best of my knowledge, all information presented in connection with this Application is accurate, and I will promptly notify NPT UK in writing of any changes.

Primary Advisor and Joint Advisor, if applicable, are required to sign below.

Primary Advisor Signature

Primary Advisor Print Name

Date (DD/MM/YYYY)

Joint Advisor Signature

Joint Advisor Print Name

Date (DD/MM/YYYY)

DOCUMENTATION REQUIRED

- Donor Advised Fund Application (this form)
- Scanned copy of the passports of the Primary, Joint and Secondary Advisors (if you tick Full Access)
- Contribution Agreement
- Bespoke Investment Request (if applicable)

Return to: forms@nptuk.org

For more information | enquiries@nptuk.org | +44 (0)800 133 7540 | www.nptuk.org