

# DONOR-ADVISED FUND LEGAL ENTITY APPLICATION



**INSTRUCTIONS:** This Application is the initial step in establishing a donor-advised fund (DAF) with NPT UK. Please provide information about the entity establishing the DAF and the individuals who will be responsible for the DAF. The completed DAF Application and scanned copies of the passports of the Primary, Joint and Secondary Advisors (if you tick full access) should be returned to [forms@nptuk.org](mailto:forms@nptuk.org).

## 1 Donor-Advised Fund Name

Choose a name for the DAF. You may name it for your organisation or company (e.g., "The ABC Corporation Fund") or for a particular charitable purpose (e.g., "Save the Rain Forests Charitable Fund").

**Donor-Advised Fund Name**

## 2 Entity Information

Identify the type of entity that owns the assets being donated. Additional documentation may be required. (e.g. articles of incorporation, resolutions, trusts instruments, partnership deed, etc.)

- Corporation
- Partnership
- LLC
- Trust (non-charitable)
- Other (please specify)

|  |                |         |                   |
|--|----------------|---------|-------------------|
| Legal Entity Name  | Company Number |         |                   |
| Registered Address   | City           |         |                   |
| Postcode   | Country        | Website | Company Telephone |
| Main Business Address (if different from registered address) | City           |         |                   |
| Postcode   | Country        |         |                   |

## 3 Primary and Joint Advisors

### Primary Advisor (Required)

Identify the Primary Advisor for the DAF. The Primary Advisor has the authority to recommend grants and investment allocations and to name and remove Joint and Secondary Advisors. All correspondence regarding the Donor-Advised Fund account will be sent to the Primary Advisor. The Primary Advisor will have online access to the account.

|                               |                           |           |         |
|-------------------------------|---------------------------|-----------|---------|
| Title                         | First Name                | Last Name |         |
| Telephone (for online access) | Email (for online access) |           |         |
| Address                       | City                      | Postcode  | Country |
| Job Title                     |                           |           |         |

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### 3 Primary and Joint Advisors (Continued)

#### Joint Advisor (Optional)

Your organisation may name a Joint Advisor to the fund that has the authority to recommend grants and investment allocations and view fund information. Joint Advisors are typically officers of the entity establishing the DAF account. The Joint Advisor will also have online access to the account.

|                               |            |                           |          |         |
|-------------------------------|------------|---------------------------|----------|---------|
| Title                         | First Name | Last Name                 |          |         |
|                               |            |                           |          |         |
| Telephone (for online access) |            | Email (for online access) |          |         |
|                               |            |                           |          |         |
| Address                       |            | City                      | Postcode | Country |
|                               |            |                           |          |         |
| Job Title                     |            |                           |          |         |

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### 4 Secondary Advisor(s) (Optional)

Name one or more Secondary Advisors if you wish. Secondary Advisors may recommend grants and investments. Secondary Advisors cannot name Primary or Joint advisors. Secondary Advisors will not succeed the Primary or Joint Advisors. Secondary Advisors can be given read-only access or full access to recommend investment allocations and grants.

|                               |            |                           |          |         |
|-------------------------------|------------|---------------------------|----------|---------|
| Title                         | First Name | Last Name                 |          |         |
|                               |            |                           |          |         |
| Telephone (for online access) |            | Email (for online access) |          |         |
|                               |            |                           |          |         |
| Address                       |            | City                      | Postcode | Country |
|                               |            |                           |          |         |
| Job Title                     |            |                           |          |         |

#### Secondary Advisor is authorised to:

Read-only access: Permits Secondary Advisor to view DAF information online

Full Access: Permits Secondary Advisor to recommend investment allocations and grants

|                               |            |                           |          |         |
|-------------------------------|------------|---------------------------|----------|---------|
| Title                         | First Name | Last Name                 |          |         |
|                               |            |                           |          |         |
| Telephone (for online access) |            | Email (for online access) |          |         |
|                               |            |                           |          |         |
| Address                       |            | City                      | Postcode | Country |
|                               |            |                           |          |         |
| Job Title                     |            |                           |          |         |

#### Secondary Advisor is authorised to:

Read-only access: Permits Secondary Advisor to view DAF information online

Full Access: Permits Secondary Advisor to recommend investment allocations and grants

## 5 Investment Allocation

Primary, Joint and authorised Secondary Advisors may recommend an investment allocation by completing this section. If no percentage is indicated, the assets will be held in cash (non-interest bearing). If you wish to change your investment allocation, you can do so by submitting a new **DAF Investment Reallocation**. You may only select one of the three options below.

**Option 1:** Allocate assets among any of the third party investment funds below. The total asset allocation must equal 100%.

| Funds  |     | Ticker | ISIN         | Fee*  | Percent Allocation |
|--|-----|--------|--------------|-------|--------------------|
| Vanguard FTSE 100 ETF  | GBP | VUKE   | IE00B810R511 | 0.15% |                    |
| Vanguard S & P 500 UCITS ETF                                 | GBP | VUSA   | IE00B3XXRP09 | 0.15% |                    |
| UBS MSCI ACWI  | GBP | ACGUKE | IE00BYVDRF92 | 0.15% |                    |
| iShares Core UK Gilts  | GBP | IGLT   | IE00B1FZSB30 | 0.15% |                    |
| UBS ETF Barclays US Corp                                     | GBP | CBUSH  | LU1048317298 | 0.15% |                    |
| UBS (Irl) Select Money Market Fund                           | GBP | n/a    | IE00BWWCQ766 | n/a   |                    |
| Cash (non-interest bearing)                                  | GBP | n/a    | n/a          | n/a   |                    |
| Impact Investment Options                                    |     |        |              |       |                    |
| UBS ETF (IE) MSCI UK IMI Socially Responsible UCITS          | GBP | UKSR   | IE00BMP3HN93 | 0.15% |                    |
| UBS ETF (IE) MSCI ACWI Socially Responsible Hedged GBP UCITS | GBP | AWSG   | IE00BDR55B48 | 0.15% |                    |
| UBS ETF (IE) Global Gender Equality Hedged GBP UCITS         | GBP | GENG   | IE00BDR5H297 | 0.15% |                    |
|  |     |        |              |       | <b>TOTAL 100%</b>  |

\* By third-party investment manager, in addition to fund fees (see factsheets).

**Option 2:** Allocate assets among any of the single manager actively managed diversified model portfolios below. There is a £100,000 minimum investment in each model portfolio. The total asset allocation must equal 100%.

| Model Portfolios            |     | Fee*  | Percent Allocation |
|-----------------------------|-----|-------|--------------------|
| UBS Global Balanced Fund    | GBP | 0.70% |                    |
| UBS Global Equity Fund      | GBP | 0.70% |                    |
| UBS Global Growth Fund      | GBP | 0.70% |                    |
| UBS Fixed Income Fund       | GBP | 0.40% |                    |
| Cash (non-interest bearing) | GBP | n/a   |                    |
|                             |     |       | <b>TOTAL 100%</b>  |

\* By third-party investment manager (factsheets available).

**Option 3 | Bespoke Investment Request:** £500,000 minimum required. For DAF accounts greater than £500,000, the Primary, Joint, or authorised Secondary Advisors may recommend a third-party investment manager to create a bespoke portfolio to help you meet your philanthropic goals, subject to the approval of NPT UK. Please submit a **Bespoke Investment Request**.

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## 6 Acknowledgement of Terms

I acknowledge and agree that the DAF is offered pursuant to the terms and conditions contained in the NPT UK Donor-Advised Fund Reference Guide. I acknowledge and agree that any contribution is an irrevocable charitable donation when received and accepted by NPT UK, and NPT UK retains exclusive legal control over the contributed assets. Furthermore, I understand and agree that NPT UK has the final approval over any grant and investment allocation recommendations. In accordance with Know Your Client/Anti-Money Laundering procedures, NPT UK will obtain and verify information that identifies each person who makes a contribution to a donor-advised fund. I hereby certify that, to the best of my knowledge, all information presented in connection with this Application is accurate, and I will promptly notify NPT UK in writing of any changes.

**Primary Advisor and Joint Advisor, if applicable, are required to sign below.**

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**Primary Advisor Signature**

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Primary Advisor Print Name

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Date (DD/MM/YYYY)

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**Joint Advisor Signature**

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Joint Advisor Print Name

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Date (DD/MM/YYYY)

### DOCUMENTATION REQUIRED

- Donor Advised Fund Application (this form)
- Scanned copy of the passports of the Primary, Joint and Secondary Advisors (if you tick Full Access)
- Bespoke Investment Request (if applicable)
- Contribution Agreement

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**Return to:** [forms@nptuk.org](mailto:forms@nptuk.org)

For more information | [enquiries@nptuk.org](mailto:enquiries@nptuk.org) | +44 (0)800 133 7540 | [www.nptuk.org](http://www.nptuk.org)