DONOR-ADVISED FUND
LEGAL ENTITY APPLICATION

INSTRUCTIONS: To establish a Donor-Advised Fund (DAF) with NPT UK, please first refer to NPT UK's ***Donor-Advised Fund Reference Guide*** for an overview of the programme and policies. Please provide information about the entity establishing the DAF and the individuals who will be responsible for the DAF. The completed ***DAF Application*** and scanned copies of the passports of the Primary, Joint and Secondary Advisors (if you tick full access) should be returned to forms@nptuk.org.

Following completion of the ***DAF Application***, please submit a ***Contribution Agreement***, also available at nptukgiving.org.

If you need assistance, call +44 (0)800 133 7540 or email enquiries@nptuk.org.

1 Donor-Advised Fund Name

Choose a name for the DAF. You may name it for your organisation or company (e.g., "The ABC Corporation Fund"), or a particular charitable purpose (e.g.,"Save the Rain Forests Charitable Fund").

Donor-Advised Fund Name

2 Entity Information

Identify the entity that owns the assets being donated. Additional documentation may be required. (e.g. articles of association, instruments, partnership agreements, etc.)

- ☐ Corporation
- ☐ Partnership
- ☐ LLC
- ☐ Other (please specify)

Legal Entity Name | Company Number

Registered Address | City

Postcode | Country | Website | Company Telephone

Main Business Address (if different from registered address) | City

Postcode | Country

3 Primary and Joint Advisors

Primary Advisor (Required)
Identify the authorised Primary Advisor for the DAF. The Primary Advisor is typically the CEO of the entity establishing the DAF account. The Primary Advisor has the authority to recommend grants and investment allocations and to name and remove Joint and Secondary Advisors. All correspondence regarding the DAF account will be sent to the Primary Advisor. The Primary Advisor will have online access to the account.

Title | First Name | Last Name

Address | City | Postcode | Country

Telephone (for online access) | Email (for online access)

Job Title

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3 Primary and Joint Advisors (Continued)

Joint Advisor

Your organisation may name an authorised Joint Advisor to the DAF that has the authority to recommend grants and investment allocations and view fund information. Joint Advisors are typically officers of the entity establishing the DAF account. The Joint Advisor will also have online access to the account.

Title | First Name | Last Name

Address | City | Postcode | Country

Telephone (for online access) | Email (for online access)

Job Title

4 Secondary Advisor(s) (Optional)

Name one or more Secondary Advisors if you wish. Secondary Advisors can be given read-only access or full access to recommend investment allocations and grants. Secondary Advisors cannot name Primary or Joint Advisors. Secondary Advisors will not succeed the Primary or Joint Advisors.

Title | First Name | Last Name

Address | City | Postcode | Country

Telephone (for online access) | Email (for online access)

Job Title

Secondary Advisor is authorised to:

☐ **Read-only access:** Permits Secondary Advisor to view DAF information online.
☐ **Full Access:** Permits Secondary Advisor to view DAF information online and to recommend investment allocations and grants.

Title | First Name | Last Name

Address | City | Postcode | Country

Telephone (for online access) | Email (for online access)

Job Title

Secondary Advisor is authorised to:

☐ **Read-only access:** Permits Secondary Advisor to view DAF information online.
☐ **Full Access:** Permits Secondary Advisor to view DAF information online and to recommend investment allocations and grants.

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5 Investment Allocation

Primary, Joint and authorised Secondary Advisors may recommend an investment allocation by completing this section. If no percentage is indicated, the assets will be held in cash (non-interest bearing). If you wish to change your investment allocation, you can do so by submitting a new ***DAF Investment Reallocation***. You may only select one of the three options below.

☐ **Option 1:** Allocate assets among any of the third-party investment funds below. The total asset allocation must equal 100%.

Funds		Ticker	ISIN	Fee*	Percent Allocation
Vanguard FTSE 100 ETF	GBP	VUKE	IE00B810R511	0.15%	
Vanguard S & P 500 UCITS ETF	GBP	VUSA	IE00B3XXRP09	0.15%	
UBS MSCI ACWI	GBP	ACGUKD	IE00BYVDRF92	0.15%	
iShares Core UK Gilts	GBP	IGLT	IE00B1FZSB30	0.15%	
UBS ETF (LU) Bloomberg US Liquid Corporates UCITS ETF	GBP	CBUSH	LU1048317298	0.15%	
UBS (Irl) Select Money Market Fund	GBP	n/a	IE000Q38I9I2	n/a	
Cash (non-interest bearing)	GBP	n/a	n/a	n/a	
Impact Investment Options					
UBS ETF (IE) MSCI UK IMI Socially Responsible UCITS	GBP	UKSR	IE00BMP3HN93	0.15%	
UBS ETF (IE) MSCI ACWI Socially Responsible Hedged GBP UCITS	GBP	AWSG	IE00BDR55B48	0.15%	
UBS ETF (IE) Global Gender Equality Hedged GBP UCITS	GBP	GENG	IE00BDR5H297	0.15%	
					TOTAL 100%

** By third-party investment manager, in addition to fund fees (see factsheets).*

☐ **Option 2:** Allocate assets among any of the single manager actively managed diversified model portfolios below. There is a £100,000 minimum investment in each model portfolio. The total asset allocation must equal 100%.

Model Portfolios		Fee*	Percent Allocation
UBS Global Balanced Fund	GBP	0.70%	
UBS Global Equity Fund	GBP	0.70%	
UBS Global Growth Fund	GBP	0.70%	
UBS Fixed Income Fund	GBP	0.40%	
Cash (non-interest bearing)	GBP	n/a	
			TOTAL 100%

** By third-party investment manager (factsheets available).*

☐ **Option 3 | Bespoke Investment Request:** £500,000 minimum required. For DAF accounts greater than £500,000, the Primary, Joint, or authorised Secondary Advisors may recommend a third-party investment manager to create a bespoke portfolio to help you meet your philanthropic goals, subject to the approval of NPT UK. Please submit a ***Bespoke Investment Recommendation Discretionary Mandate***.

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6 Acknowledgement of Terms

I/We acknowledge and agree that:

- The DAF is offered pursuant to the terms and conditions contained in the NPT UK ***Donor-Advised Fund Reference Guide***, which I/we have read.
- Any contribution is an irrevocable and unconditional charitable donation once received and accepted by NPT UK. NPT UK retains exclusive legal control over the contributed assets and has final approval over any grant and investment allocation recommendations.
- I/We have full capacity and authority to enter into this agreement.
- To the best of my/our knowledge, the information provided in this agreement is accurate and I/we will notify NPT UK promptly in writing of any changes.

In accordance with Know Your Client/Anti-Money Laundering procedures, NPT UK will obtain information in order to verify the identities of donors and advisors to the DAF. The Privacy Policy on NPT UK's website provides further information on how we collect, store and use any personal data.

Authorised Primary Advisor and Joint Advisor are required to sign below.

_____

Authorised Primary Advisor Signature

_____ _____

Print Name Date (DD/MM/YYYY)

_____

Authorised Joint Advisor Signature

_____ _____

Print Name Date (DD/MM/YYYY)

DOCUMENTATION REQUIRED

☐ ***Donor-Advised Fund Application*** (this form)
☐ Scanned copy of the passports of the Primary, Joint and Secondary Advisors (if you tick Full Access)
☐ ***Bespoke Investment Recommendation Discretionary Mandate*** (if applicable)
☐ ***Contribution Agreement***

Return to: forms@nptuk.org

For more information | enquiries@nptuk.org | +44 (0)800 133 7540 | www.nptuk.org

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